

U.S. District Court
Southern District of New York (Foley Square)
CIVIL DOCKET FOR CASE #: 1:13-cv-00220-JPO

The United States of America v. JPMorgan Chase Bank, N.A. et al.
Assigned to: Judge J. Paul Oetken
Cause: 31:3729 False Claims Act

Date Filed: 01/10/2013
Date Terminated: 02/05/2014
Jury Demand: Plaintiff
Nature of Suit: 890 Other Statutory Actions
Jurisdiction: U.S. Government Plaintiff

Plaintiff

ABC
TERMINATED: 02/04/2014

represented by **ABC**
PRO SE

Plaintiff

The United States of America
ex rel. Keith Edwards appearing QUI TAM

represented by **David Gerard Wasinger**
The Wasinger Law Group, P.C.
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V.

Defendant

DEF
TERMINATED: 02/04/2014

Defendant

JPMorgan Chase Bank, N.A.

represented by **Alexander H. Southwell**
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Defendant**JPMorgan Chase & Co.**represented by **Alexander H. Southwell**

(See above for address)
LEAD ATTORNEY
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Jefferson Eliot Bell

(See above for address)
ATTORNEY TO BE NOTICED

Date Filed	#	Docket Text
01/10/2013	1	ORDER, Case sealed. (Signed by Judge Richard J. Sullivan on 1/10/2013) (nm) (Entered: 01/23/2013)
01/10/2013		Magistrate Judge Frank Maas is so designated. (nm) (Entered: 01/23/2013)
01/10/2013	2	SEALED DOCUMENT placed in vault.(nm) (Entered: 01/23/2013)
03/14/2013	3	SEALED DOCUMENT placed in vault.(mps) (Entered: 03/14/2013)
04/02/2013	4	SEALED DOCUMENT placed in vault.(nm) (Entered: 04/02/2013)
04/10/2013	5	SEALED DOCUMENT placed in vault.(mps) (Entered: 04/10/2013)
09/19/2013	6	SEALED DOCUMENT placed in vault.(nm) (Entered: 09/19/2013)
02/04/2014	7	ORDER, IT IS HEREBY ORDERED that: 1. The seal shall be lifted as to this Order and any matter occurring in this action hereafter. 2. All documents filed before February 4, 2014, in the Court's file in this action shall remain under seal and shall not be made public, except for the relator's amended complaint. SO ORDERED. (Signed by Judge J. Paul Oetken on 02/04/2014) (mps) (Entered: 02/04/2014)
02/04/2014	10	NOTICE of Election to Intervene. Document filed by The United States of America. (ft) (Entered: 02/06/2014)
02/04/2014	11	INTERVENOR COMPLAINT against JPMorgan Chase & Co., JPMorgan Chase Bank, N.A. Document filed by The United States of America.(ft) (Entered: 02/06/2014)
02/04/2014	12	FIRST AMENDED COMPLAINT amending 11 Intervenor Complaint against JP

		Morgan Chase & Co., JP Morgan Chase Bank, N.A. with JURY DEMAND. Document filed by The United States of America. Related document: 11 Intervenor Complaint filed by The United States of America. (This document was originally filed under seal in envelope #4 and unsealed on 2/4/2014.)(ja) (ca). (Entered: 02/07/2014)
02/04/2014		***NOTE TO ATTORNEY TO E-MAIL PDF. Note to Attorney David G. Wasinger for noncompliance with Section 14.3 of the S.D.N.Y. Electronic Case Filing Rules & Instructions. E-MAIL the PDF for Document <u>12</u> Amended Complaint, to: caseopenings@nysd.uscourts.gov. (ja) (Entered: 02/07/2014)
02/05/2014	8	STIPULATION AND ORDER OF SETTLEMENT AND DISMISSAL: The Court's subject matter jurisdiction over this action is undisputed and Defendants consent to the Court's exercise of personal jurisdiction over them. 2. Defendants Chase and JPMC admit, acknowledge, and accept responsibility for the following conduct alleged in the Government's Complaint, as further set forth herein. Chase shall comply with all rules applicable to participants in the DEL Program and the Loan Guaranty Program, including the DEL Program requirement that it ensure the "integrity of the data supplied" to TOTAL. In connection with the data integrity requirement set forth in Paragraph 3 above, Chase shall implement an enhanced quality control program to review FHA loans that it underwrites using TOTAL. The components of such quality control program shall be negotiated in good faith among Chase, HUD and the United States Attorney's Office for the Southern District of New York ("SDNY"), and shall be set forth in a separate, signed, public agreement among Chase, HUD, and SDNY, as further set forth herein. Defendants shall pay to the Government \$614 million within thirty calendar days of the Effective Date (the "Settlement Amount"). Of the Settlement Amount, Defendants are paying \$564.6 million to address violations of the DEL Program, and \$49.4 million to address violations of the Loan Guaranty Program. Any amounts distributed to HUD-FHA pursuant to this Stipulation may be deposited into FHA's Capital Reserve Account. Each Party shall bear its own and its employees' legal and other costs incurred in connection with this matter, including the preparation and performance of this Stipulation. (Signed by Judge J. Paul Oetken on 2/4/2014) (tro) (Entered: 02/05/2014)
02/05/2014	9	CONSENT JUDGMENT: plaintiff the United States of America is awarded judgment in the sum of \$ 614,000,000 as of January 31, 2014, as against defendants JPMorgan Chase & Co. and JPMorgan Chase Bank, N.A., jointly and severally, plus any and all applicable post-judgment interest permitted by law. (Signed by Judge J. Paul Oetken on 2/4/2014) (Attachments: # 1 Notice of Right to Appeal)(dt) (Entered: 02/05/2014)
02/18/2014	13	ENDORSED LETTER addressed to Judge J. Paul Oetken from CHRISTOPHER B. HARWOOD dated 2/14/2014 re: Accordingly, the Government and the relator respectfully propose that they be permitted to continue their settlement discussions until February 28, 2014; if they have not reached a settlement by that date, they will jointly submit on or before that date, a proposed schedule for further proceedings on the issue of relator share. ENDORSEMENT: SO ORDERED. (Signed by Judge J. Paul Oetken on 2/18/2014) (ama) (Entered: 02/18/2014)
03/05/2014	14	ENDORSED LETTER addressed to Judge J. Paul Oetken from Christopher B. Harwood dated 2/28/2014 re: The Government and the relator respectfully propose that

		they be granted until March 7, 2014, to submit a stipulation of settlement and order of dismissal on the issue of relator share. ENDORSEMENT: So ordered. (Signed by Judge J. Paul Oetken on 3/5/2014) (lmb) (Entered: 03/05/2014)
03/07/2014	15	STIPULATION AND ORDER OF SETTLEMENT AND DISMISSAL: IT IS HEREBY ORDERED, upon the consent of the Government and Relator, by and through their respective counsel: 1. Contingent upon and following payment by Defendants to the Government of the Settlement Amount, and within a reasonable time following the Government's receipt of the Settlement Amount, the Government shall pay to Relator, pursuant to the instructions provided by his attorney, the amounts set forth below (inclusive of interest): a. The Government shall pay Relator \$56,460,000 of the HUD Settlement Amount. b. The Government shall pay Relator \$7,410,000 of the VA Settlement Amount. 2. Relator agrees and confirms that the settlement set forth in this Relator Stipulation of his claim for a share of the HUD Settlement Amount and the VA Settlement Amount is fair, adequate and reasonable. 3. Upon receipt of the amounts identified in Paragraph 1 above, Relator - for himself and his heirs, successors, attorneys, agents and assigns - shall release and shall be deemed to have released and forever discharged the Government from: (a) any claim for a share of the HUD Settlement Amount or the VA Settlement Amount, including any claim pursuant to 31 U.S.C. § 3730(d); and (b) any other claims arising from or relating to the filing of the above-captioned action as further set forth in this order. (Signed by Judge J. Paul Oetken on 3/7/2014) (lmb) (Entered: 03/07/2014)
03/14/2014	16	NOTICE OF APPEARANCE by Jefferson Eliot Bell on behalf of JPMorgan Chase & Co., JPMorgan Chase Bank, N.A.. (Bell, Jefferson) (Entered: 03/14/2014)
03/14/2014	17	NOTICE OF APPEARANCE by Alexander H. Southwell on behalf of JPMorgan Chase & Co., JPMorgan Chase Bank, N.A.. (Southwell, Alexander) (Entered: 03/14/2014)
03/14/2014	18	ORDER: It is hereby ordered that, if filed, that Relator Keith Edwards' Motion for Award of Statutory Attorneys' Fees, Costs and Expenses Pursuant to 31 U.S.C. §3730(d)(1) be filed under seal and Defendants' response and Relator's reply shall also be filed under seal. (Signed by Judge J. Paul Oetken on 3/14/2014) (lmb) (Entered: 03/14/2014)
03/17/2014	19	SEALED DOCUMENT placed in vault.(nm) (Entered: 03/17/2014)
03/21/2014	20	LETTER addressed to Judge J. Paul Oetken from Alexander H. Southwell dated March 21, 2014 re: Extension of Time. Document filed by JPMorgan Chase & Co., JPMorgan Chase Bank, N.A..(Southwell, Alexander) (Entered: 03/21/2014)
03/24/2014	21	MEMO ENDORSEMENT on re: 20 Letter filed by JPMorgan Chase & Co., JPMorgan Chase Bank, N.A. ENDORSEMENT: Granted., (Responses due by 4/7/2014.) (Signed by Judge J. Paul Oetken on 3/24/2014) (lmb) (Entered: 03/24/2014)
04/04/2014	22	LETTER addressed to Judge J. Paul Oetken from Alexander H. Southwell dated April 4, 2014 re: abeyance and stay of briefing. Document filed by JPMorgan Chase & Co., JPMorgan Chase Bank, N.A..(Southwell, Alexander) (Entered: 04/04/2014)

04/07/2014	23	MEMO ENDORSEMENT on re: 22 Letter filed by JPMorgan Chase & Co., JPMorgan Chase Bank, N.A. ENDORSEMENT: So ordered. (Signed by Judge J. Paul Oetken on 4/7/2014) (lmb) (Entered: 04/07/2014)
04/18/2014	24	LETTER addressed to Judge J. Paul Oetken from David G. Wasinger dated 04/18/2014 re: Motion for Award of Statutory Attorneys' Fees. Document filed by ABC.(Wasinger, David) (Entered: 04/18/2014)

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